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1-10-88/61 .02.01

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Application Number	
Filing Date	10/07/2003
First Named Inventor	Robert G. Hockaday
Title	Electrostatic Filtered Eyewear
Group Art Unit	
Examiner Name	
Attorney Docket Number	ERD

I hereby appoint:

☐ Practitioners at Customer Number

OR

☒ Practitioner(s) named below:

Name	Registration Number
James C. Wray	22,693
Meera P. Narasimhan	40,252

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as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

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☒ Firm or
Individual Name

James C. Wray

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State

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Zip

22101

Country

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Telephone

(703) 442-4800

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(703) 448-7397

I am:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/06)

SIGNATURE of Applicant or Assignee of Record

Name

Robert G. Hockaday, President, Energy Related Devices, Inc.

Signature

Robert G. Hockaday

Date

October 4, 2003

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required. See below.

☐ Total forms are submitted.

8.75 per hour. Payment: This form is submitted to the U.S. Patent and Trademark Office, U.S. Patent and Trademark Office, Washington, DC 20531. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20531.

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STATEMENT UNDER 37 CFR 3.73(b)

Robert G. Hockaday, Patrick S. Turner, Marc D. DeJohn,
Applicant/Patent Owner: Carlos J. Navaa and Heathcliff L. Vaz
Application No./Patent No.: _____ Filed/Issue Date: 10/07/2003
Entitled: Electrostatic Filtered Eyewear
Energy Related Devices, Inc., a New Mexico corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____ %
in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
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3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.
[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

✓ October 4, 2003
Date

Robert G. Hockaday


Typed or printed name

✓ Robert G. Hockaday
Signature

President

Title

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PTO/SB/01 (10-00)

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DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)	Attorney Docket Number	ERD
	First Named Inventor	Robert G. Hockaday
	COMPLETE IF KNOWN	
	Application Number	/
	Filing Date	10/07/2003
	Group Art Unit	
<input checked="" type="checkbox"/> Declaration Submitted with Initial Filing	OR	<input type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)
Examiner Name		

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Electrostatic Filtered Eyewear

(Title of the Invention)

the specification of which

☒ is attached hereto

OR

☐ was filed on (MM/DD/YYYY) _____ as United States Application Number or PCT International Application Number _____ (if applicable).

and was amended on (MM/DD/YYYY) _____

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.66, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(e)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(e) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/028 attached hereto.

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/028 attached hereto.
50/416,271	10/07/2002	<input type="checkbox"/>

(Page 1 of 2)

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DECLARATION — Utility or Design Patent ApplicationDirect all correspondence to: ☐ Customer Number or Bar Code Label ☐ OR ☒ Correspondence address belowName **James C. Wray**Address **1493 Chain Bridge Road**Address **Suite 300**City **McLean** State **VA** ZIP **22101**Country **US** Telephone **(703) 442-4800** Fax **(703) 448-7397**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR:☐ A petition has been filed for this unsigned inventorGiven Name **Robert G.**
(first and middle (if any))Family Name **Hockaday**
or SurnameInventor's
Signature *Robert D. Hockaday*Date **Oct 4, 2003**Residence: City **Los Alamos** State **NM** Country **US** Citizenship **US**Mailing Address **127 Eastgate Industrial Park**

Mailing Address

City **Los Alamos** State **NM** ZIP **87544** Country **US****NAME OF SECOND INVENTOR:**☐ A petition has been filed for this unsigned inventorGiven Name **Patrick S.**
(first and middle (if any))Family Name **Turner**
or SurnameInventor's
Signature *Patrick S. Turner*Date **6 OCT 2003**Residence: City **Los Alamos** State **NM** Country **US** Citizenship **US**Mailing Address **127 Eastgate Industrial Park**

Mailing Address

City **Los Alamos** State **NM** ZIP **87544** Country **US**☒ Additional inventors are being named on the supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

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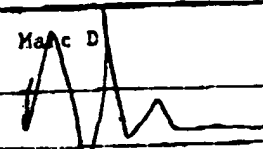

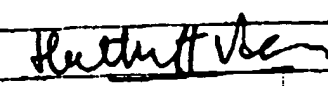
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DECLARATION	ADDITIONAL INVENTOR(S) Supplemental Sheet Page <u>1</u> of <u>1</u>
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Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name Marc D		Family Name or Surname DeJohn			
Inventor's Signature 		Date 10/6/03			
Residence: City Los Alamos	State NM	Country US	Citizenship US		
Mailing Address 127 Eastgate Industrial Park					
Mailing Address					
City Los Alamos	State NM	ZIP 87544	Country US		
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name Carlos J.		Family Name or Surname Navas			
Inventor's Signature 		Date 10/6/03			
Residence: City Santa Fe	State NM	Country US	Citizenship Spain		
Mailing Address 127 Eastgate Industrial Park					
Mailing Address					
City Los Alamos	State NM	ZIP 87544	Country US		
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name Heathcliff L.		Family Name or Surname Vaz			
Inventor's Signature 		Date 10/6/03			
Residence: City Los Alamos	State NM	Country US	Citizenship India		
Mailing Address 127 Eastgate Industrial Park					
Mailing Address					
City Los Alamos	State NM	ZIP 87544	Country US		

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